Liquid Capital Statement

for the month of 28-FEB-21

of Ms. General Investment & Sec

Submission Date 15-MAR-2021 17:41:46

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1				
	Assets	1 650 047	1 659 047	
	Property & Equipment	1,658,047	1,658,047	
	Intangible Assets Investment in Govt. Securities	5,000,000	5,000,000	
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,803,959	0	1,803,959
	respective securities whichever is higher.			1,000,000
	ii. If unlisted, 100% of carrying value.	181,727,508	181,727,508	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
	or any other entity.			
	Margin deposits with exchange and clearing house.	30,160,000	0	30,160,000
	Deposit with authorized intermediary against borrowed securities under SLB.			
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	Dividends receivables.			
	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	1,034,457	1,034,457	
	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market			
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	ralicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	2,820,003	0	
	ii. Bank balance-customer accounts	49,559,308		
L	iii. Cash in hand	458,147	U	458,147

Liquid Capital Statement

for the month of 28-FEB-21

of M/s. General Investment & Sec

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2.1 Idealities 2.1 Trade Payable to exchanges and clearing house 1. Payable to exchanges and clearing house 1. Payable to exchanges and clearing house 2.2 Current Liabilities 3. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Account and other payables 1. Statutory and regulatory dues 1. Statutory and regulatory dues 1. Statutory and regulatory dues 1. Deferred Liabilities 1. Concurrent Liabilities 1. Concurrent Liabilities 1. Concurrent Liabilities 1. Long-Term financing 1. Staff retirement benefits 1. The total control of the company has approved the increase in capital 1. Benefit and the capital and the proposed enhanced share capital 2. A subordinated cleafly in Issue of shares against advance and all regulatory requirements 1. Total of Staffend that such advances to shares against advance and all regulatory requirements 1. Total of Staffend that such advances to shares against advance and all regulatory requirements 1. Total of Staffend that such advances to shares against advance and all regulatory requirements 1. Total of Staffend that such advances to shares against advance and all regulatory r	2				
Trade Payables Pay		Total Assets	274,221,429	189,420,012	84,801,417
Payable to exchanges and clearing house	2.1	Liabilities			
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iii Payable to customers					
Current Liabilities Statutory and regulatory dues			57 083 928	0	57,083,928
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III. Short- term borrowings					
iv. Current portion of updated loans v. Current portion of long term liabilities vi. Deferred Liabilities vi. Devision for bad debts viii. Provision for taxation x. Other liabilities as per accounting principles and included in the financial statements x. Other liabilities as per accounting principles and included in the financial statements x. Other liabilities as per accounting principles and included in the financial statements x. Other liabilities as per accounting principles and included in the financial statements x. Other long-term financing x. I. Long-Term financing obtained from financial institution: Long term portion of financing obtained from x. In a financial institution including amount due against finance lease b. Other long-term financing x. I. Long-Term financing x. Long-Term financing			636,499	0	636,499
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3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription		1 9 9			
(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	3.3				
	3.3	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription			
price; the aggregate of:					
(i) the 50% of Haircut multiplied by the underwriting commitments and					
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of					
the Haircut multiplied by the net underwriting		the Haircut multiplied by the net underwriting			
(b) in any other case : 12.5% of the net underwriting commitments		(b) in any other case : 12.5% of the net underwriting commitments			
regulive equity of substatuty	3.4				
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)					
exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions	3.5				
5.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total					
assets denominated in foreign cuurency less total liabilities denominated in foreign currency		assets denominated in foreign currency less total liabilities denominated in foreign currency			
	3.6	Amount Payable under REPO			
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 28-FEB-21

of M/s. General Investment & Sec

Submission Date 15-MAR-2021 17:41:46

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	216,501,002	189,420,012	27,080,990