Liquid Capital Statement

for the month of 30-APR-19

of M/s. General Investment & Sec

Submission Date 15-MAY-2019 14:20:45

Page 1 Of 3

| 1. Assets 1. Property & Equipment 1. 1. Intransition Asset. 1. Intransition Intrastructure asset. 1. Intrastructure Asset. 1 | | | | | |
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| 1.1 Assets 1.1 Property Equipment 1.2 Improbe Ayoth 1.3 Improbe Ayoth 1.3 Improbe Ayoth 1.3 Improbe Ayoth 1.4 Improbe Ayoth 1.5 Improbe Ay | S.No. | Head of Account | Value in | Hair Cut/ | Net Adjusted |
| 1.34 Property & Equipment 1.346.171 | | | Pak Rupee | Adjustments | value |
| 1-30 International in Court Securities 5,700,000 | 1 | Assets | | | |
| 1.1.2 Internation (Sect. Securities) Internation (Sect. Sect. S | 1.1 | | 1,348,171 | 1,348,171 | |
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| 1.75% of the balance sheet value, in the case of femse from 1-3 years. | | If listed than: | | | |
| E. 10% of the balance sheet value, in the case of tenue upto 1 year. | | | | | |
| Full is compared to the bear of the bear | | | | | |
| 1.0% of the balance sheet value in the case of fearure unto 1 years | | | | | |
| IL 125% of the balance sheet value, in the case of femule from 1-3 years | | | | | |
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| If listed 19% or Vall of each securities whicheves it higher | | | | | |
| respective securities whichever is higher. ii if unlisted, 100% of cargining value. iii Subscription money against investment in POoffer for Sale: Amount paid as subscription money. iii Subscription money against investment in all subscription money. iii Subscription money against investment in all subscription money. iii Subscription money against investment in all subscription money. iii Subscription money against investment in all subscription investment in all subscription money. In subscription money against investment in all subscription in subscription in subscription in subscription. In subscription of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House against Margin Financing requirements or pledged in favor of Stock Exchange of Closing House or Control of Stock Individual Closing Against Closing Against Closing House or central depository. I. In Investment in associated companies and closing house. I. It is statutory or regulatory depositors is deposits with the exchanges, closing house or central depository. I. It is statutory or regulatory depositors is deposits with the exchanges, closing house or central depository. I. It is considered in the Investment of Closing House or central depository. I. It is considered with authorized merimental against borrowed securities under St.R. I. It is considered in the Investment of Closing House or account of Closing House or Closing Against Closing Against Closing Against Closing Against Closing Against Closing Agains | 1.5 | Investment in Equity Securities | | | |
| Ill fundisted. 1076 of carrying value. 24.927,930 2 | | | 3,664,397 | 0 | 3,664,397 |
| III Subscription money against investment in IPOINTer for Sale. Amount paid as subscription money provided that sheets have not been alloted or are not included in the investments of securities broker. | | | 24.927.930 | 24.927.930 | |
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| 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting halicut iiii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting halicut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 15,065 0 15,005 | | | | | |
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| iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 15,065 0 15,065 19,605,929 0 19,605,929 | | | | | |
| v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 15,065 0 15,065 ii. Bank balance-customer accounts | | | 8,565,098 | 0 | 8,565,098 |
| value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 15,065 0 15,065 0 19,605,929 0 19,605,929 | | | | | |
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| 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 15,065 0 15,065 ii. Bank balance-customer accounts 19,605,929 0 19,605,929 | | | | | |
| i. Bank Balance-proprietory accounts 15,065 0 15,065 ii. Bank balance-customer accounts 19,605,929 0 19,605,929 | 1 10 | | | | |
| ii. Bank balance-customer accounts 19,605,929 0 19,605,92 | 1.10 | | 15.005 | ^ | 15.066 |
| | | | | | |
| 100,007 0 09,007 U 09,007 | | iii. Cash in hand | 59,387 | | |

Liquid Capital Statement

for the month of 30-APR-19

of M/s. General Investment & Sec

Submission Date 15-MAY-2019 14:20:45

Page 2 Of 3

| | | | _ | |
|-------|---|-----------------------|--------------------------|-----------------------|
| S.No. | Head of Account | Value in Pak Rupee | Hair Cut/ Adjustments | Net Adjusted Value |
| 1.19 | Total Assets | 67,310,977 | 32,501,101 | 34,809,876 |
| 2 | Liabilities | | | |
| 2.1 | Trade Payables | | | |
| | i. Payable to exchanges and clearing house | | | |
| | ii. Payable against leveraged market products | 15.051.010 | | |
| 2.2 | iii. Payable to customers Current Liabilities | 15,351,310 | 0 | 15,351,310 |
| 2.2 | i. Statutory and regulatory dues | | | |
| | ii. Accruals and other payables | 3,430,818 | 0 | 3,430,818 |
| | iii. Short-term borrowings | | | |
| | iv. Current portion of subordinated loans | | | |
| | v. Current portion of long term liabilities vi. Deferred Liabilities | | | |
| | vii. Provision for bad debts | | | |
| | viii. Provision for taxation | | | |
| 2.3 | ix. Other liabilities as per accounting principles and included in the financial statements | | | |
| 2.3 | Non-Current Liabilities | | | |
| | i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease | | | |
| | b. Other long-term financing | | | |
| | ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in | | | |
| | respect of advance against shares if: | | | |
| | a. The existing authorized share capital allows the proposed enhanced share capital | | | |
| | b. Boad of Directors of the company has approved the increase in capital | | | |
| | c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements | | | |
| | relating to the increase in paid up capital have been completed. | | | |
| | e. Auditor is satisfied that such advance is against the increase of capital. | | | |
| 2.4 | iv. Other liabilities as per accounting principles and included in the financial statements | | | |
| 2.4 | Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: | | | |
| | The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP | | | |
| 2.5 | | 40.700.400 | 0 | 40.700.400 |
| 2.5 | Total Liabilites | 18,782,128 | 0 | 18,782,128 |
| 3 | Ranking Liabilities Relating to: | | | |
| 3.1 | Concentration in Margin Financing | | | |
| | The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. | | | |
| 3.2 | Concentration in securites lending and borrowing | | | |
| | The amount by which the aggregate of: | | | |
| | (i) Amount deposited by the borrower with NCCPL | | | |
| | (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares | | | |
| | borrowed | | | |
| 3.3 | Net underwriting Commitments | | | |
| | (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription | | | |
| | price; the aggregate of: | | | |
| 3.4 | (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. | | | |
| | In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of | | | |
| | the Haircut multiplied by the net underwriting | | | |
| | (b) in any other case : 12.5% of the net underwriting commitments | | | |
| | Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) | | | |
| | exceed the total liabilities of the subsidiary | | | |
| 3.5 | Foreign exchange agreements and foreign currency positions | | | |
| | 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency | | | |
| 3.6 | Amount Payable under REPO | | | |
| 3.7 | Repo adjustment | | | |
| | · · · | | | |

Liquid Capital Statement

for the month of 30-APR-19

of M/s. General Investment & Sec

Submission Date 15-MAY-2019 14:20:45

Page 3 Of 3

| S.No. | Head of Account | Value in | Hair Cut/ | Net Adjusted |
|-------|---|------------|-------------|--------------|
| | | Pak Rupee | Adjustments | Value |
| 3.8 | In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of | | | |
| 3.9 | the value of such security Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met | 10,410,071 | 0 | |
| 3.10 | Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. | | | |
| 3.11 | Total Ranking Liabilites | 10,410,071 | 0 | 10,007,710 |
| 3.12 | Liquid Capital | 38,118,778 | 32,501,101 | 16,027,748 |