# Liquid Capital Statement

for the month of 30-JUN-19

## of Ms. General Investment & Sec

Submission Date 15-JUL-2019 15:51:02

Page 1 Of 3

A Sacets  1. Assets 1. Property & Equipment 1. 1. Interrigible Assets 1. Interrigible Asset					
1.   Asserts   1.12   Improvide Acuts   1.344,177   1.544,178   1.24   Improvide Acuts   6,700,000   5,700,000	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
Property & Equipment   1,448,771   1,348			Pak Rupee	Adjustments	value
1-32   Interpretary Acests   1-34	1	Assets			
1.1.1 Internation Assets. 1.1.2 Investment in Dest. Securities. 1.1.3 Investment in Good. Securities. 1.1.4 Investment in Good. Securities. 1.1.5 of the balance prest value, in the case of tenuse upto 1 years. 1.1.5 of the balance prest value, in the case of tenuse upto 1 years. 1.1.5 of the balance prest value, in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value, in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value, in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance prest value in the case of tenuse of more than 3 years. 1.1.5 of the balance value value, in the case of tenuse of more than 3 years. 1.1.5 of the balance value value in the case of tenuse of more than 3 years. 1.1.5 of the balance value value in the case of tenuse of more than 3 years. 1.1.5 of the balance value value in the case of tenuse of more than 3 years. 1.1.5 of the balance value value in the investment in the present value of the balance value of the balance value of the balance of tenuse of tenus	1.1		1,348,171	1,348,171	
Integration in Debt. Securities  If listed throm  If list					
If Island them:			3,700,000	3,700,000	
Us of the Balance sheet value in the case of tenue upto 1 year   1.75 to 1 the balance sheet value, in the case of tenue upto 1.2 years   1.75 to 1 the balance sheet value, in the case of tenue for note than 3 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1.2 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the balance sheet value, in the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the case of tenue upto 1 years   1.75 to 1 the upto 1 year					
1.7% of the balance sheet value, in the case of ferrure from 1-2 years.		If listed than:			
If In 196 of the balance sheet value, in the case of fearure of more than 3 years.					
Full Interest of the betainers sheet value in the case of tenue uplo 1 year.					
1.10% of the balance sheet value in the case of fearure upto 1 year.					
11.25% of the balance sheet value, in the case of fenuer from 1-3 years.					
Investment in Equity Securities  If lifest 15s or VaR of each securities on the cutoff date as computed by the Securities Exchange for appective securities withcheer's higher.  If under the 15s or VaR of each securities on the cutoff date as computed by the Securities Exchange for appearance of the Computer of the Co					
Filted 19% or Valid of each securities michely and the securities Exchange for espective securities michely as in plant.   3,408,844   0 3,4		iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
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If unitsed (10% of canning value.   24,977,930   24,977			3,408,844	0	3,408,844
III Subscription money against investment in IPOInter for Sale. Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			24 927 930	24 927 930	
in 100% Haircut shall be applied to Value of Investment in any asset including shares of fisted securities that are in Block, Flerees or Redge shatts as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Provided that 100% haircut shall not be applied in case of investment in those securities which are provided in the provided of the control of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  Investment in subsidiates  In It stated 20% or VR at Geach securities as computed by the Securities Exchange for respective securities whichever is higher.  If it furtised, 100% of net value.  It is that the control of the exchange and clearing house.  Statutory or regulatory depositishate deposits with the exchanges, clearing house or central depository or control of the provided intermediately appaint borrowed securities under SLB.  1.10 Deposit with authorized Intermediatry appaint borrowed securities under SLB.  1.11 Other deposits and prepayments.  1.12 Accrued interest, profit or mark-up on armounts placed with financial institutions or debt securities etc. (NI)  1.13 Devocation of making accrued on loans to directors, subsidiaries and other related parties.  1.14 Devocation of making accrued on loans to directors, subsidiaries and other related parties.  1.15 Lishot ferm board on the profit of marking accrued the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).  1.16 Receivables other than trade receivables.  1.17 Receivables from clearing house or securities exchange(s)  In control the control of the investments.  In control the control of the profit of the pr			21,027,000	21,027,000	
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Provided that 10% haltcut shall not be applied in case of investment in those securities which are Pledged in favor of Banks against Short Term financing arrangements in such cases, the haltcut as provided in schedule in of the Requisitions in respect of investment in subdidates  1.1 Investment in subdidates  1.2 Investment in subdidates  1.3 Investment in subdidates  1.4 In Investment in subdidates  1.5 Investment in subdidates  1.6 Investment in subdidates  1.7 Investment in subdidates  1.8 Investment in subdidates  1.9 Investment in subdidates  1.1 Investment in subdidates  1.1 Investment in subdidates  1.1 Investment in subdidates  1.2 Investment in subdidates  1.3 Investment in subdidates  1.4 Investment in subdidates  1.5 Investment in subdidates  1.6 Investment in subdidates  1.7 Investment in subdidates  1.8 Investment in subdidates  1.9 Investment in subdidates  1.0 Investment in subdidates in subdid					
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Investment in associated companies undertaking   If listed 20% or Vale of each securities as computed by the Securites Exchange for respective securities whichever is higher   If united. 100% of neat value.	1 /				
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		iii. Cash in hand			

# Liquid Capital Statement

for the month of 30-JUN-19

## of Ms. General Investment & Sec

Submission Date 15-JUL-2019 15:51:02

Page 2 Of 3

Total Assets   Content	33,774,820 3,117,980 18,077,606
2.1 Trade Payables i. Payable to exchanges and clearing house i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  2.2 Current Liabilities i. Statutory and regulatory dues ii. Accruals and other payables iii. Accruals and other payables iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of subordinated loans v. Current portion of subordinated loans v. Current portion of long term liabilities vii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements ix. Other liabilities as per accounting principles and included in the financial statements i. Long-Term financing a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing iii. Staff retitement benefits iiii. Advance against shares for increase in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements  2.4 Subordinated Loans i. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule III provides that 100% halicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP are allowed to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repay	
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ix. Other liabilities as per accounting principles and included in the financial statements    Non-Current Liabilities   Long-Term financing	
2.3 Non-Current Liabilities  i. Long-Term financing a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements  2.4 Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule Ill provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.	
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Capital statement must be submitted to exchange.	
ii. Subordinated loans which do not fulfill the conditions specified by SECP	
ii. Subsidifface to ans which do not runiii the containons specified by SECI	
2.5 Total Liabilities 21,195,586 0	21,195,586
Ranking Liabilities Relating to:	
3.1 Concentration in Margin Financing	
The amount calculated client-to- client basis by which any amount receivable from any of the	
financees exceed 10% of the aggregate of amounts receivable from total financees.	
3.2 Concentration in securites lending and borrowing	
The amount by which the aggregate of:	
(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and	
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares	
borrowed	
3.3 Net underwriting Commitments	
(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	
price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and	
(ii) the value by which the underwriting commitments exceeds the market price of the securities.	
In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of	
the Haircut multiplied by the net underwriting	
(b) in any other case: 12.5% of the net underwriting commitments  3.4 Negative equity of subsidiary	
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary)	
exceed the total liabilities of the subsidiary	
3.5 Foreign exchange agreements and foreign currency positions	
5% of the net position in foreign currency. Net position in foreign currency means the difference of total	
assets denominated in foreign cuurency less total liabilities denominated in foreign currency	
3.6 Amount Payable under REPO	
3.7 Repo adjustment	

# Liquid Capital Statement

for the month of 30-JUN-19

## of M/s. General Investment & Sec

Submission Date 15-JUL-2019 15:51:02

Page 3 Of 3

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	5,518,159	0	
3.10	Short selll positions i. Incase of customer positions, the total margin requirements in respect of open positions to the extent not already met  Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	5,518,159		
3.12	Liquid Capital	39,562,176	32,501,101	12,579,234