Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. General Investment & Sec

Submission Date 10-SEP-2021 14:30:08

			Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,658,047	1,658,047	
	Intangible Assets	5,000,000	5,000,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,902,545	285,382	1,617,163
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	181,727,508	181,727,508	
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
1.9	or any other entity. Margin deposits with exchange and clearing house.	20,710,000	0	20,710,000
	Deposit with authorized intermediary against borrowed securities under SLB.	20,710,000	0	20,710,000
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	1,034,457	1,034,457	
1.16	Receivables from clearing house or securities exchange(s)	1,001,101	1,00 1,101	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	0	0	
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	iii. Incase receivables are against securities bollowings under SEB, the amount paid to NCCFE as collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	435,093	0	435,093
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	5,078,411	5,078,411	5,078,411
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	25,742 50,901,297		
	iii. Cash in hand	350,120		

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. General Investment & Sec

Submission Date 10-SEP-2021 14:30:08

SNO.         Head of Account         Value in Pak Rugeo         Heir Cut/ Adjuntmants         Net Adjunt Value           1.19         Total Assets         288.823.220         194.783.805         73.117           2         Trade Payables         9         194.783.805         73.117           2         Trade Payables         9         95.915.483         9           2         Trade Payables         9         95.915.494         9           2         Current Itabilities         9         95.915.494         9         95.915.494           2         Current Itabilities         9         9         9.93.944         0         1.393.944         0 <td< th=""></td<>
119       Iold Assets       298,823,220       194,783,808       79.117         21       Iold Physible to exchanges and clearing house
21       lipsdifies       0.000       0.000       0.000         21       lipsdifies       0.000       0.000       0.000         1       Payable to catchings and clearling house.       0.000       0.000       0.000         1       Payable to catchings and clearling house.       0.000       0.000       0.000         2       Current Liabilities       0.000       0.000       0.000       0.000         1       Statutory and regulatory dues       0.000       0.000       0.000       0.000         1       Statutory and regulatory dues       0.000       0.000       0.0000       0.000         1       Statutory and regulatory dues       0.0000       0.0000       0.0000       0.0000         1       Statutory and regulatory dues       0.00000       0.00000       0.0000
21       Irade Payables
IP spatiale to exchange and clearing house     IP spatiale cost hanges and clearing house     IP spatiale (a scularmer is a scularmer in the spatial of
II. Psychola against leveraged market products         55,915,943         0           22.         Current Liabilities         55,915,943         0           1.         Statutory and regulatory dues         1,393,044         0           1.         Statutory and regulatory dues         1,393,044         0           1.         Accurate and Other psychola         0         1,393           1.         Concent potion of accurate and other psychola         0         1,393           1.         Obstations         0         0         0           1.         Product of transaction of long tem liabilities         0         0         0           1.         Product of transaction of long tem liabilities         0         0         0           1.         Cornel liabilities         0         0         0         0           2.         Non-Current Liabilities         0
III. Papable to customers         55.915.343         0         55.915           22         Current Liabilities         1.383.048         0         1.383.048         0           III. And -term bortowing         1.383.048         0         1.383.048         <
22       Current Liabilities       0       0         1. Accruads and other payables       1.393,048       0       1.393         1. Accruads and other payables       1.393,048       0       1.393         w. Current point on forget term liabilities       0       1.393       0       1.393         w. Current point on forget term liabilities       0       0       0       0       0         w. Current Liabilities as per accounting principles and included in the financial statements       0
ii. Accurate and other payables       1.383,048       0       1.383         iii. Short-term bolioon from germ itabilities       1       1       1       1         v. Current portion of mightern itabilities       1       <
III. Short-term borrowing         III. Avance and pation of usboninated bians         III. Coursent potion of long term likelilities           V. Current potion of bad debts         III. Provision for bad debts         III. Provision for bad debts           VII. Provision for bad debts         III. Involve to the bad debts         III. Involve to the bad debts           VII. Provision for bad debts         III. Involve to the bad debts         III. Involve to the bad debts           VII. Provision for bad debts         III. Involve the base of the
v. Current potion of subordinated bars
v. Current portion of long term liabilities         i           vi. Defend Liabilities         iii           viii. Provision for taxa doets.         iiii.           viii. Provision for taxa doets.         iiiiiiii.           viii. Provision for taxa doets.         iiiiiiiiii.           viii. Provision for taxa doets.         iiiiiiiii.           a. Tong-ferm financing         iiiiiiiiii.           a. Tong-ferm financing attribution including amount due against finance lease.         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
viii. Provision for bad debts
will Provision for taxation         iiii Provision for taxation           iiii Dervision for taxation         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
iii. Other liabilities as per accounting principles and included in the financial statements
1. Long-Term financing       i         a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from       a financial institution including amount due against finance lease         b. Other long-Term financing       i         iii. Ratificitiement benefits       i         iii. Advance against shares for Increase in Capital of Securities troker: 10% halicut may be allowed in respect of advance against shares for a provingent be proposed enhanced share capital       i         a. The existing authorized share capital allows the proposed enhanced share capital       i       i         b. existing authorized share capital allows the proposed enhanced share capital       i       i         c. He existing authorized share capital avance and all regulatory requirements relating to the increase in paid up capital have been completed.       i       i         c. Profit labilities as per accounting principles and included in the financial statements       i       i         v. Other labilities as per accounting or capital have been expectified.       i       i       i         v. Sourd lines specified by SECP in the regard, following conditions as expectified.       i       i       i         v. Cher labilities as upmetities to an unst clearly reflect the amount to be repaid after the augmetities the increase of any pay pay and the increase in capital and revised Liquid Capital statement must be submitted to exchance.       i       i       i       i       i
a Long <sup>1</sup> -term financing öbtained from financial institution: Long term portion of financing obtained from       a financial institution including amount due against finance lease         b. Other long-term financing       i.i.i.i.i.i.i.i.i.i.i.i.i.i.i.i.i.i.i.
a financial institution including amount due against finance lease
b. Other long-term financing       In         II. Staff returement benefits       In         III. Advance against shares for increase in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares fit.       In         a. The existing authorized share capital allows the proposed enhanced share capital       In         b. Boad of Directors of the company has approved the increase in capital       In         c. Relevant Regulatory approvals have been obtained       Interes in ourneasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.       Interesting the increase of capital.         v. Other liabilities as per accounting principles and included in the financial statements       Interesting the increase of capital.         v. Other liabilities apper accounting principles and included in the financial statements       Interesting the increase of capital.         v. Other liabilities are accounting principles and included in the financial statements       Interesting the increase of capital.         v. Other liabilities age raccounting principles and included in the financial statements.       Interesting the increase of capital.         v. Other liabilities age accounting principles and must cleality reflect the amount to be repaid after 2 months of reparting period.       Interesting the increase of capital.         a. Loan agreement must be submitted to exchange.       Interesting the increase.       Interesting the increase.
III. Staff relitement benefits
expect of advance against shares if:       a. The existing authorized share capital allows the proposed enhanced share capital         b. Boad of Directors of the company has approved the increase in capital       c. Relevant Regulatory approvables have been obtained         d. There is no unreasonable delay in size of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.       e. Auditor is satisfied that such advance is against the increase of capital.         iv. Other liabilities as per accounting principles and included in the financial statements       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
a. The existing authorized share capital allows the proposed enhanced share capital       b. Boad of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvals have been obtained       d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements         i.elating to the increase in paid up capital have been completed.       e. Auditive is satisfied that such advance is against the increase of capital.         iv. Other liabilities as per accounting principles and included in the financial statements       i.         i.100% of Subordinated loans       i.         i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:       i.         the schedule III provides that 100% haliccut will be allowed against subordinated Loans which fulfill the conditions are period.       i.         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months or feporting period.       i.         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       ii.         ii. Subordinated loans which do not fulfill the conditions specified by SECP       iii.       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
b. Boad of Directors of the company has approved the increase in capital       c. Relevant Regulatory approvals have been obtained         d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements telating to the increase in paid up capital have been completed.       e. Auditor is satisfied that such advance is against the increase of capital.         iv. Other liabilities as per accounting principles and included in the financial statements       iv. Other liabilities as per accounting principles and included in the financial statements         2.4       Subordinated Loans       iv. Other liabilities as per accounting principles and included in the financial statements         iv. Other liabilities as per accounting principles and included in the financial statements       iv. Other liabilities as per accounting principles and included in the financial statements         iv. Other liabilities as per accounting principles and included against subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted:       the Schedule III provides that Jow haricus will be allowed against subordinated Loans which fulfill the conditions precified by stere and must clearly reflect the amount to be repaid after 12 months.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       iv. Statement must be submitted to exchange.         ii. Subordinated Loans which furfill the conditions specified by SECP       iv. Statement must be submitted to exchange.       iv. Statement must be submitted to exchange.         ii. Subo
d. There is no urreasoriable delay in issue of shares against advance and all regulatory requirements       issue of the increase in paid up capital have been completed.         e. Auditor is satisfied that such advance is against the increase of capital.       increase in paid up capital have been completed.         2.4       Subordinated Loans       included in the financial statements         1.100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted:       included in the financial statements.         1.100% of Subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:       a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period.       b. No halicut will be allowed against student and next clearly reflect the amount to be repaid after 12 months of reporting period.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       iii Subordinated Loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities Relating to :       3.       Ranking Liabilities Relating to :       3.         3.1       Concentration in Margin Financing       interace in adgregate of amounts receivable from any of the financees.       c.         7.0       Amount deposited by the borrower with NCCPL       interace addregate a
relating to the increase in paid up capital have been completed.       e. Auditor is satisfied that such advance is against the increase of capital.       iv. Other liabilities as per accounting principles and included in the financial statements         2.4       Subordinated Loans       iv. Other liabilities as per accounting principles and included in the financial statements       iv. Other liabilities as per accounting principles and included in the financial statements         2.4       Subordinated Loans       iv. Other liabilities as per accounting principles and included in the financial statements         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       b. No haircut will be allowed against short term portion which is repayable within next 12 months.         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       iv. Subordinated loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities Relating to :       37,308,391       0       57,308,391       0         3.1       Concentration in Margin Financing       The amount calculated client-to- client basis by which any amount receivable from any of the financees.       iv. Client tail financees.         3.2       Concentration in securities lending and borrowing       iv. Client term of the aggregate of.       iv. Client term of the aggregate of.       iv. Amount deposited by the borrower with NCCPL       iv. Client term or
e. Auditor is satisfied that such advance is against the increase of capital.       Image: Capital is a per accounting principles and included in the financial statements         2.4       Subordinated Loans       Image: Capital is a per accounting principles and included in the financial statements         1.10% of Subordinated Loans       Image: Capital is a per accounting principles and included in the financial statements         Image: Capital is construct will be allowed against subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted:       Image: Capital is construct will be allowed against subordinated Loans which fulfill the conditions specified by SECP are allowed to be repaid after 12 months of reporting period         b. No haircut will be allowed against short term portion which is repayable within next 12 months.       Image: Capital statement must be submitted to exchange.         ii. Subordinated loans which do not fulfill the conditions specified by SECP       Image: Capital statement must be submitted to exchange.         ii. Subordinated loans which do not fulfill the conditions specified by SECP       Image: Cancentration in Margin Financing.         1       Concentration in Margin Financing.       Image: Cancentration in Margin Financing.         1       The amount calculated client-to- client basis by which any amount receivable from any of the financees.       Image: Cancentration in securities lending and borrowing.         2.3       Concentration in securities lending and borrowing.       Image: Cancentration in securities lending and borrowing. <t< td=""></t<>
iv. Other liabilities as per accounting principles and included in the financial statements
1.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilites       57,308,391       0       57,308         3       Ranking Liabilities Relating to :       3       3       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of ::       ()       0       57,308,391       0         3.2       Concentration in securites lending and borrowing The amount by which the aggregate of ::       ()
The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: <ul> <li>a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period</li> <li>b. No haircut will be allowed against short term portion which is repayable within next 12 months.</li> <li>c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.</li> <li>ii. Subordinated loans which do not fulfill the conditions specified by SECP</li> </ul> <li>2.5 Total Liabilities Relating to:         <ul> <li>3.1 Concentration in Margin Financing</li> <li>The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.</li> <li>3.2 Concentration in securites lending and borrowing</li> <li>The amount deposited by the borrower with NCCPL (i) Cash margins paid and (ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed</li> </ul> </li> <li>3.3 Net underwriting Commitments         <ul> <li>(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price: the aggregate of:</li> <li>(b) in the market value of field to such a such as the subscription price: the aggregate of:</li> <li>(c) and the case of right issue : if the market value of securites is less than or equal to the subscription price: the aggregate of:</li> </ul></li>
conditions specified by SECP. In this regard, following conditions are specified:       a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period         b. No haircut will be allowed against short term portion which is repayable within next 12 months.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.         ii. Subordinated loans which do not fulfill the conditions specified by SECP       57,308,391       0       57,308         3       Ranking Liabilities Relating to :       3       0       57,308         3.1       Concentration in Margin Flinancing       1       1         The amount calculated client-to-client basis by which any amount receivable from any of the financees.       1       1         3.2       Concentration in securites lending and borrowing       1       1         The amount deposited by the borrower with NCCPL (i) Cash margins paid and (ii) The market value of securites pledged as margins exceed the 110% of the market value of shares borrowed       3       3         3.3       Net underwriting Commitments       1       1       1         (a) in the case of right issues : if the market value of securites is less than or equal to the subscription price; the aggregate of:       1       1
a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       b. No haircut will be allowed against short term portion which is repayable within next 12 months.         c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       ii. Subordinated loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities Relating to :       57,308,391       0       57,308.         3       Ranking Liabilities Relating to :       iiii Subordinated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
b. No haircut will be allowed against short term portion which is repayable within next 12 months.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid         Capital statement must be submitted to exchange.       ii. Subordinated loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities       S7,308,391       0         3       Ranking Liabilities Relating to :       3         3.1       Concentration in Margin Financing       3         The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.       3         3.2       Concentration in securites lending and borrowing       1         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL       1         (ii) Cash margins paid and       (iii) The market value of securities is less than or equal to the subscription price; the aggregate of:       4
c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid
Capital statement must be submitted to exchange.       ii. Subordinated loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities       57,308,391       0       57,308         3       Ranking Liabilities Relating to :       57,308,391       0       57,308         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       57,308       57,308         3.2       Concentration in securites lending and borrowing The amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       58,301       57,308         3.3       Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       1       1
2.5       Total Liabilities       57,308,391       0       57,308,         3       Ranking Liabilities Relating to :       57,308,391       0       57,308,         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       0       0         3.2       Concentration in securites lending and borrowing The amount by which the aggregate of: (1) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       0       0
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3       Ranking Liabilities Relating to :
3.1       Concentration in Margin Financing       Image: Concentration in Margin Financing         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       Image: Concentration in securites lending and borrowing         3.2       Concentration in securites lending and borrowing       Image: Concentration in securites lending and borrowing         Image: The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares         Image: Concentration in securities pledged as margins exceed the 110% of the market value of shares       Image: Concentration in concentration in securities pledged as margins exceed the 110% of the market value of shares         3.3       Net underwriting Commitments       Image: Concentration in the case of right issues : if the market value of securites is less than or equal to the subscription price; the aggregate of:
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3.2       Concentration in securites lending and borrowing       Image: Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL       (ii) Cash margins paid and         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securities pledged as margins exceed the 110% of the market value of shares         3.3       Net underwriting Commitments       Image: Concentration in the case of right issues : if the market value of securites is less than or equal to the subscription price; the aggregate of:
3.3       Net underwriting Commitments <ul> <li>(a) in the case of right issue : if the market value of securites is less than or equal to the subscription</li> <li>(b) the market value of securities is less than or equal to the subscription</li> </ul>
(i) Amount deposited by the borrower with NCCPL       (ii) Cash margins paid and       (iii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       (iii) The market value of securities pledged as margins exceed the 110% of the market value of securities pledged as margins exceed the 110% of the market value of securities pledged as margins exceed the 110% of the market value of securities pledged as margins exceed the 110% of the subscription         3.3       Net underwriting Commitments       (iii) The market value of securities is less than or equal to the subscription       (iii) The market value of securities pledged as margins exceed the 110% of the subscription         (ii) the case of right issues : if the market value of securities is less than or equal to the subscription       (iii) the case of right issue pledged as margins exceed the 110% of the pledged as margins exceed as m
(ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares       iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         3.3       Net underwriting Commitments       in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:
3.3       Net underwriting Commitments         (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:
3.3       Net underwriting Commitments
(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of:
price; the aggregate of:
(i) the 50% of Haircut multiplied by the underwriting commitments and
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of
the Haircut multiplied by the net underwriting
(b) in any other case : 12.5% of the net underwriting commitments
3.4 Negative equity of subsidiary
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary
3.5     Foreign exchange agreements and foreign currency positions
5% of the net position in foreign currency. Net position in foreign currency means the difference of total
assets denominated in foreign cuurency less total liabilities denominated in foreign currency
3.6     Amount Payable under REPO       3.7     Repo adjustment

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. General Investment & Sec

Submission Date 10-SEP-2021 14:30:08

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the output and algorithmet.	47,196,508	0	
3.10	extent not already met Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites Liquid Capital	47,196,508 164,318,321	0 194,783,805	21,809,435